Integrated Internal Audit Checklist

ISO 9001:2026 · ISO 14001:2026

How to use this sheet

1. Filter by clause, Procedure (Column G) or Process to plan each audit. Columns C–D show the clause audited in each standard; "—" means that standard has no equivalent requirement.
2. For each question audited, select the Process (I) and Result (J), and record the objective evidence seen (L). The score is calculated automatically; N/A is excluded.
3. For each Minor NC, Major NC or OFI, select the root cause (M) and the action required (N). Findings are numbered in Column O and appear in order on the Findings Log.

Audit ref.	
Lead auditor	
Audit date(s)	

Result	Questions	Score
Conforms	0	–
OFI	0	
Minor NC	0	
Major NC	0	
N/A	0	

REQUIREMENT				AUDIT QUESTION				AUDIT FINDINGS						
No.	**Requirement area**	**ISO 9001:2026**	**ISO 14001:2026**	**Audit question**	**Evidence to sample**	**EQMS procedure**	**New / changed**	**Process audited**	**Audit result**	**Score**	**Objective evidence & notes**	**Root cause**	**Action required**	**Finding ID (auto)**
4 CONTEXT OF THE ORGANIZATION														
1	Context — external and internal issues	4.1	4.1	Is there evidence that the organization has determined the external and internal issues relevant to its purpose (9001: and strategic direction) that affect its ability to achieve the intended results (14001: outcomes) of the management system?	Form 01 Context & Interested Parties; Form 02 SWOT Analysis Template; Form 03 PESTLE Analysis Template	P01								
2	Context — climate change relevance	4.1	—	Can the organization show that it has explicitly decided whether climate change is a relevant issue for its quality management system, and recorded the basis for that decision?	Form 01 Context & Interested Parties; Form 03 PESTLE Analysis Template; management review minutes	P01	**2026 (9001)**							
3	Context — environmental conditions	—	4.1	Do the documented issues include environmental conditions affected by, or capable of affecting, the organization, such as pollution levels, availability of natural resources, climate change, biodiversity or ecosystem health?	Form 01 Context & Interested Parties; Form 03 PESTLE Analysis Template; Form 06 Aspect Identification Register	P01	**2026 (14001)**							
4	Context — monitoring and review	4.1	—	Are information sources on external and internal issues identified, and do records show that this information is monitored and the issues reviewed at planned intervals or when circumstances change?	Form 01 Context & Interested Parties; Form 02 SWOT Analysis Template; management review minutes	P01								
5	Interested parties — identification	4.2	4.2	Has the organization identified the interested parties relevant to the management system?	Form 01 Context & Interested Parties; stakeholder lists; customer and supplier records	P01								
6	Interested parties — requirements	4.2	4.2	Can the organization show the relevant needs and expectations of each interested party, including any related to climate change (9001) or environmental conditions (14001)?	Form 01 Context & Interested Parties; contract and customer requirements; regulator correspondence	P01	**2026 (9001, 14001)**							
7	Interested parties — requirements adopted	4.2	4.2	Is there evidence that the organization has decided which interested-party requirements will be addressed through the management system and which become compliance obligations (14001)?	Form 01 Context & Interested Parties; Form 07 Legal and Compliance Register	P04								
8	Interested parties — monitoring	4.2	—	Do records confirm that information about relevant interested parties and their requirements is monitored and reviewed, with changes reflected in the management system?	Form 01 Context & Interested Parties; management review minutes; customer and supplier correspondence	P01								
9	Scope — boundaries and applicability	4.3	4.3	Has the organization defined the boundaries and applicability of the management system, including sites, organizational units and functions, to establish its scope?	Scope statement; management system manual; site plans; organization chart	P01								
10	Scope — issues considered	4.3	4.3	When setting the scope, can the organization show that the external and internal issues determined under 4.1 were taken into account?	Form 01 Context & Interested Parties; scope statement; scope review records	P01								
11	Scope — requirements considered	4.3	4.3	Was the scope determined taking into account the requirements of relevant interested parties (9001) and the compliance obligations (14001) identified under 4.2?	Form 01 Context & Interested Parties; Form 07 Legal and Compliance Register; scope statement	P01								
12	Scope — activities, products and services	4.3	4.3	Does the scope reflect the organization's products and services and (14001) its activities and physical boundaries?	Scope statement; Form 04 Process Matrix & Application; site plans	P01								