Integrated Internal Audit Checklist

ISO 9001:2026 · ISO 14001:2026 · ISO 45001:2018+A1:2024

How to use this sheet

1. Filter by clause, Procedure (Column H) or Process to plan each audit. Columns C–E show the clause audited in each standard; "—" means that standard has no equivalent requirement.
2. For each question audited, select the Process (J) and Result (K), and record the objective evidence seen (M). The score is calculated automatically; N/A is excluded.
3. For each Minor NC, Major NC or OFI, select the root cause (N) and the action required (O). Findings are numbered in Column P and appear in order on the Findings Log.

Audit ref.	
Lead auditor	
Audit date(s)	

Result	Questions	Score
Conforms	0	–
OFI	0	
Minor NC	0	
Major NC	0	
N/A	0	

REQUIREMENT					AUDIT QUESTION				AUDIT FINDINGS						
No.	Requirement area	ISO 9001:2026	ISO 14001:2026	ISO 45001:2018+A1:2024	Audit question	Evidence to sample	EHQMS procedure	New / changed	Process audited	Audit result	Score	Objective evidence & notes	Root cause	Action required	Finding ID (auto)
4 CONTEXT OF THE ORGANIZATION															
1	Context — external and internal issues	4.1	4.1	4.1	Is there evidence that the organization has determined the external and internal issues relevant to its purpose (9001: and strategic direction) that affect its ability to achieve the intended results (14001, 45001: outcomes) of the management system?	Form 01 Context & Interested Parties; Form 02 SWOT Analysis Template; Form 03 PESTLE Analysis Template	P01								
2	Context — climate change relevance	4.1	—	—	Can the organization show that it has explicitly decided whether climate change is a relevant issue for its quality management system, and recorded the basis for that decision?	Form 01 Context & Interested Parties; Form 03 PESTLE Analysis Template; management review minutes	P01	2026 (9001)							
3	Context — climate change (OH&S)	—	—	4.1	Has the organization determined whether climate change is a relevant issue for its OH&S management system, for example heat stress, extreme weather or changed working conditions?	Form 01 Context & Interested Parties; Form 03 PESTLE Analysis Template; Form 07 Hazard Identification Register	P01	AMD 1:2024 (45001)							
4	Context — environmental conditions	—	4.1	—	Do the documented issues include environmental conditions affected by, or capable of affecting, the organization, such as pollution levels, availability of natural resources, climate change, biodiversity or ecosystem health?	Form 01 Context & Interested Parties; Form 03 PESTLE Analysis Template; Form 06 Aspect Identification Register	P01	2026 (14001)							
5	Context — monitoring and review	4.1	—	—	Are information sources on external and internal issues identified, and do records show that this information is monitored and the issues reviewed at planned intervals or when circumstances change?	Form 01 Context & Interested Parties; Form 02 SWOT Analysis Template; management review minutes	P01								
6	Interested parties — identification	4.2	4.2	4.2	Has the organization identified the interested parties relevant to the management system, including (45001) workers and, where they exist, workers' representatives?	Form 01 Context & Interested Parties; stakeholder lists; consultation records	P01								
7	Interested parties — requirements	4.2	4.2	4.2	Can the organization show the relevant needs and expectations of each interested party, including any related to climate change (9001, 45001) or environmental conditions (14001)?	Form 01 Context & Interested Parties; contract and customer requirements; regulator correspondence	P01	2026 (9001, 14001)							
8	Interested parties — requirements adopted	4.2	4.2	4.2	Is there evidence that the organization has decided which interested-party requirements will be addressed through the management system, which become compliance obligations (14001) and which are, or could become, legal and other requirements (45001)?	Form 01 Context & Interested Parties; Form 09 Legal and Compliance Register	P05								
9	Interested parties — monitoring	4.2	—	—	Do records confirm that information about relevant interested parties and their requirements is monitored and reviewed, with changes reflected in the management system?	Form 01 Context & Interested Parties; management review minutes; customer and supplier correspondence	P01								
10	Scope — boundaries and applicability	4.3	4.3	4.3	Has the organization defined the boundaries and applicability of the management system, including sites, organizational units and functions, to establish its scope?	Scope statement; management system manual; site plans; organization chart	P01								
11	Scope — issues considered	4.3	4.3	4.3	When setting the scope, can the organization show that the external and internal issues determined under 4.1 were taken into account?	Form 01 Context & Interested Parties; scope statement; scope review records	P01								