

File Home Insert Page Layout Formulas Data Review View Help ACROBAT Tell me what you want to do

Cut Copy Paste Format Painter Clipboard

Segoe UI 11 Font

Wrap Text Merge & Center Alignment

General Number

Normal 14 Normal 14 10 Normal 14 2 Normal 14 2 2 Normal 14 2 2 2 Normal

Conditional Formatting Format as Table

Insert Delete Format Cells

AutoSum Fill Clear Sort & Filter

The integrated internal audit checklist will ensure your implementation audits concisely compare your management system against the requirements of ISO 9001:2015, ISO 14001:2015 and ISO 45001:2018.

Integrated Internal Audit Checklist														
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Each audit question phrases the ISO 9001:2015, 14001:2015 and 45001:2018 'shall' requirements as a question, in order to elicit either a 'yes' or 'no' response, that can be represented as an 'x'.														
Enter the letter 'x' into either Column H, I or J, to express the answer to each audit question. The scoring formula assumes each requirement is conforms, until an 'x' is entered into Column I or J.														
The error tracking cells in Column O display a message if there is more than response entered in Columns H, I and J, per row. The cells also display whether a response has yet to be entered. See Cells O6 and O7 as an example.														
Audit Findings														
Provide a reference to documented information to justify each audit finding.														
Describe the nature of any minor or major nonconformance *.														
Note any process or practice that seems weak, cumbersome, redundant or complex.														
An OFI may be an improvement to the management system or something that could prevent future problems **.														
Audit Score														
0 Entries yet to														
4	Context of the Organization	Question No	ISO 9001:2015	ISO 14001:2015	ISO 45001:2018	Audit Question	Conforming	Minor nonconformance *	Major nonconformance *	Opportunity to improve **	Audit Evidence & Notes	Opportunities to Improve	Status %	Error Che
4.1	Organizational Context	1	Q	E	HS	Has your organization determined external and internal issues relevant to its purpose and its strategic direction that affect its ability to achieve the intended result(s) of its QEHS management system?	x						100	
4.1	Organizational Context	2	Q	E	HS	Does your organization monitor and review information about these external and internal issues?	x						100	
4.2a	Relevant Interested Parties	3	Q	E	HS	Does your organization determine the interested parties and workers that are relevant to the QEHS management system?		x					75	
4.2b	Relevant Interested Parties	4	Q	E	HS	Does your organization determine the requirements of these interested parties and workers that are relevant to the QEHS management system, which may include regulatory requirements, local, regional or global environmental conditions that can affect, or be affected by, your organization?	x			x			100	
4.2c	Relevant Interested Parties	5		E	HS	Does your organization determine which of those requirements are to be managed as a compliance obligation or legal requirement in order to mitigate adverse risk or exploit beneficial opportunities that can be integrated into the operational planning of the QEHS management system?			x				25	
4.3	Management System Scope	6	Q	E	HS	Does your organization determine the boundaries and applicability of the QEHS management system to establish its scope?	x						100	
4.3a	Management System Scope	7	Q	E	HS	When determining this scope, has your organization considered the external and internal issues referred to in 4.1?			x				25	
4.3b	Management System Scope	8	Q	E	HS	When determining this scope, has your organization considered the requirements of relevant interested parties referred to in 4.2?		x					75	
						When determining this scope, has your organization considered all								